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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10681788	
	Filing Date		2003-10-08	
	First Named Inventor	Habib Zaghouni		
	Art Unit	1644		
	Examiner Name	Gerald R. Ewoldt		
	Attorney Docket Number	07316.0002.CPUS01		

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6737057	B1	2004-05-18	Zaghouni	
	2	5969109		1999-10-19	Bona, et al.	
	3	5817308		1998-10-06	Scott, et al.	

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U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20030103967	A1	2003-06-05	Zaghouni	
	2	20020081298	A1	2002-06-27	Zaghouni	
	3	20020038002	A2	2002-03-28	Zaghouni	

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FOREIGN PATENT DOCUMENTS

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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	0741788	EP	B1	2005-02-02	The American National Red Cross		<input type="checkbox"/>
	2	2004004642	WO	A2	2004-01-15	The University of Tennessee Research Corporation		<input type="checkbox"/>
	3	9830706	WO	A1	1998-07-16	Alliance Pharmaceutical Corporation		<input type="checkbox"/>
	4	9521926	WO	A1	1995-08-17	University of Rochester		<input type="checkbox"/>
	5	9009804	WO	A1	1990-09-07	The Regents of the University of California		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Balasa, B., et al., "A Mechanism for IL-10-Mediated Diabetes in the Nonobese Diabetic (NOD) Mouse: ICAM-1 Deficiency Blocks Accelerated Diabetes," The Journal of Immunology, Vol. 165, pp. 7330-7337 (2000)	<input type="checkbox"/>
	2	Bu, Ding-Fang, et al., "Two Human Glutamate Decarboxylases, 65-kDa GAD and 67-kDa GAD, Are Each Encoded by a Single Gene," Proc. Natl. Acad. Sci. USA, Vol. 89, pp. 2115-2119 (1992)	<input type="checkbox"/>
	3	Gregg, Randal, et al., "IL-10 Diminishes CTLA-4 Expression on Islet-Resident T Cells and Sustains Their Activation Rather Than Tolerance," The Journal of Immunology, Vol. 174, pp. 662-670 (2005)	<input type="checkbox"/>

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4	Gregg, Randal, et al., "A Sudden Decline in Active Membrane-Bound TGF- β Impairs Both T Regulatory Cell Function and Protection Against Autoimmune Diabetes," The Journal of Immunology, Vol. 173, pp. 7308-7316 (2004)	<input type="checkbox"/>
5	Kaufman, D., et al., "Autoimmunity to Two Forms of Glutamate Decarboxylase in Insulin-Dependent Diabetes Mellitus," Journal of Clinical Investigation, Vol. 89, pp. 283-292 (1992)	<input type="checkbox"/>
6	Legge, K., et al., "Coupling of Peripheral Tolerance to Endogenous Interleukin 10 Promotes Effective Modulation of Myelin-Activated T Cells and Ameliorates Experimental Allergic Encephalomyelitis," J. Exp. Med., Vol. 191, No. 12, pp. 2039-2051 (2000)	<input type="checkbox"/>
7	Legge, K., et al., "Multi-Modal Antigen Specific Therapy for Autoimmunity," Intern. Rev. Immunol., Vol. 20, pp. 593-611 (2001)	<input type="checkbox"/>
8	Zambidis, E., et al., "Epitope-Specific Tolerance Induction with An Engineered Immunoglobulin," Proc. Natl. Acad. Sci. USA, Immunology, Vol. 93, pp. 5019-5024 (1996)	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2006-11-21
Name/Print	David B. Fournier, Esq.	Registration Number	51,696

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**